

102903
U.S. PTOUTILITY PATENT
APPLICATION TRANSMITTAL(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney Docket No.

032060

Total Pages

First Named Inventor or Application Identifier

Tamotsu OWADA, Shun-ichi FUKUYAMA, Hirofumi WATATANI,
Kengo INOUE and Atsuo SHIMIZU

Express Mail Label No.

APPLICATION ELEMENTS FOR: *SILICON
OXYCARBIDE, GROWTH METHOD OF SILICON
OXYCARBIDE LAYER, SEMICONDUCTOR DEVICE
AND MANUFACTURE METHOD FOR
SEMICONDUCTOR DEVICE*

ADDRESS TO:

Commissioner for Patents
MAIL STOP PATENT APPLICATION
P. O. Box 1450
Alexandria, VA 22313-145022582 U.S. PTO
10/69 4826

102903

1. ☒ Fee Transmittal Form (Incorporated within this form)
2. ☒ Specification Total Pages [43]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [13]
4. ☒ Oath or Declaration Total Pages [4]
 - a. ☒ Newly executed (original)
 - b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: FUJITSU LIMITED, *Kawasaki, Japan*
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

032060

First Named Inventor or Application Identifier

**Tamotsu OWADA, Shun-ichi FUKUYAMA, Hirofumi WATATANI,
Kengo INOUE and Atsuo SHIMIZU**

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 w/1 ref.)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of Japanese application No. 2002-315900 filed on October 30, 2002 is claimed under 35 USC 119.

16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$770.00
Total Claims	30 - 20	10	x \$18.00	180.00
Independent Claims	8 - 3	5	x \$86.00	430.00
Multiple Dependent Claims			\$290.00	
Basic Filing Fee				1380.00
Reduction by 1/2 for small entity				
Fee for recording Assignment			\$40.00	40.00
TOTAL				1420.00

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	032060
	First Named Inventor or Application Identifier	
	Tamotsu OWADA, Shun-ichi FUKUYAMA, Hirofumi WATATANI, Kengo INOUE and Atsuo SHIMIZU	
	PAGE 3 OF 3	

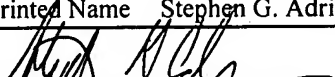
[XX] A check in the amount of \$ 1,420.00 is enclosed to cover the filing fee. \$770.00 for basic filing fee; \$180.00 for 10 additional claims; \$430.00 for 5 additional independent claims and \$40.00 for assignment recordation fee.

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18. CORRESPONDENCE ADDRESS:

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Typed or Printed Name Stephen G. Adrian	Reg. No. 32,878
Signature 	Date: October 29, 2003

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Application Number	New application
Filing Date	October 29, 2003
First Named Inventor	Tamotsu OWADA
Art Unit	Not yet assigned
Examiner Name	Not yet Assigned
Attorney Docket Number	032060

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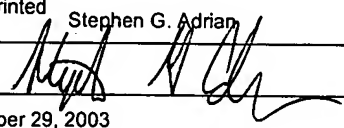
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<input checked="" type="checkbox"/> Firm or Individual Name	Westerman, Hattori, Daniels & Adrian, LLP				
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I am the:

- ☐ Applicant/Inventor
- ☐ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. Registration Number 32,878
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed Name	Stephen G. Adrian	
Signature		
Date	October 29, 2003	Telephone 202-822-1100

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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